

General and Efficient Indole Syntheses Based on Catalytic Amination Reactions

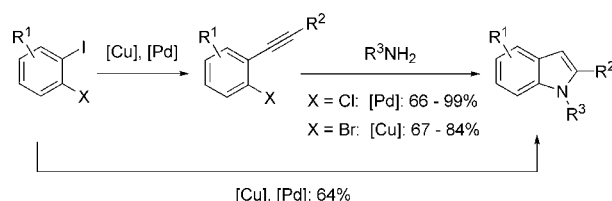
Lutz Ackermann*

Department of Chemistry, Ludwig-Maximilians-Universität München,
Butenandtstrasse 5-13, D-81377 München, Germany

lutz.ackermann@cup.uni-muenchen.de

Received November 14, 2004

ABSTRACT

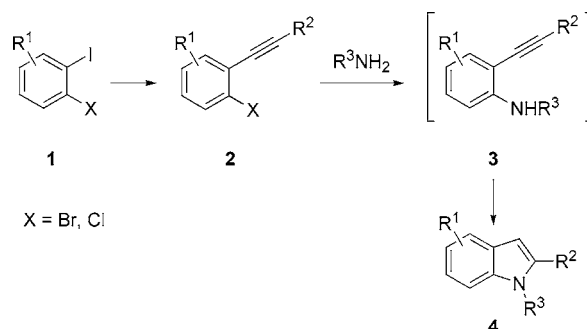


Highly flexible and efficient syntheses of the indole backbone are presented starting from *o*-alkynylhaloarenes. These transformations proceed via a palladium- or a copper-catalyzed amination reaction and a subsequent cyclization reaction in good to excellent yields. Furthermore, a multicatalytic one-pot indole synthesis starting from *o*-chloriodobenzene is viable using a single catalyst consisting of an *N*-heterocyclic carbene palladium complex and CuI.

The prevalence of indoles in natural products and biologically active compounds results in a continued strong demand for the development of general, flexible, and especially regioselective synthetic methods of this structural moiety.¹ In this context, palladium-catalyzed transformations for the synthesis of the indole backbone, starting from *o*-alkynylanilines or derivatives thereof² as well as *o*-haloanilines,³ have been studied intensively. However, much less attention has been paid to the use of *o*-dihaloarenes **1** or *o*-alkynylhaloarenes **2**,⁴ although they are easily accessible from inexpensive starting materials. Transition metal-catalyzed C–N bond forming reactions using aryl halides have proved to be a

versatile method for the synthesis of a variety of amines.⁵ Additionally, cyclization reactions of *o*-alkynylanilines **3** have been mediated by strong bases⁶ or catalyzed inter alia by palladium complexes² and Lewis acids,⁷ such as CuI.⁸ Herein, highly efficient, regioselective indole syntheses are reported, that are based on amination reactions of *o*-alkynylhaloarenes **2** (Scheme 1). Furthermore, a multicatalytic one-pot indole synthesis consisting of a Sonogashira reaction and an amination reaction with subsequent cyclization is presented. This multicatalytic reaction is accomplished by a single catalytic system.

Scheme 1. Indole Synthesis Using *o*-Dihaloarenes



(1) (a) Gilchrist, T. L. *Heterocyclic Chemistry*; Addison-Wesley Longman Limited: Singapore, 1997. (b) Joule, J. A.; Mills K.; Smith, G. F. *Heterocyclic Chemistry*; Stanley Thorne Ltd.: Cheltenham, 1995.

(2) (a) Utimoto, K.; Miwa, H.; Nozaki, H. *Tetrahedron Lett.* **1981**, 22, 4277–4278. (b) Irtani, K.; Matsubara, S.; Utimoto, K. *Tetrahedron Lett.* **1988**, 29, 1799–1802. (c) Arcadi, A.; Cacchi, S.; Marinelli, F. *Tetrahedron Lett.* **1989**, 30, 2581–2584. (d) Cacchi, S.; Carnicelli, V.; Marinelli, F. *J. Organomet. Chem.* **1994**, 475, 289–296. (e) Tsuji, J. *Palladium Reagents and Catalysts*, 2nd ed.; Wiley: Chichester, 2004; pp 211–216. (f) Leni, G.; Larock, R. C. *Chem. Rev.* **2004**, 104, 2285–2309.

(3) (a) For a review, see: Larock, R. C. *J. Organomet. Chem.* **1999**, 576, 111–124. Selected recent examples: (b) Chen, C.; Lieberman, D. R.; Larsen, R. D.; Verhoeven, T. R.; Reider, P. J. *J. Org. Chem.* **1997**, 62, 2676–2677. (c) Nazaré, M.; Schneider, C.; Lindenschmidt, A.; Will, D. W. *Angew. Chem., Int. Ed.* **2004**, 43, 4526–4528. (d) Ackermann, L.; Kasper, L. T.; Gschrei, C. *J. Chem. Commun.* **2004**, 2824–2825.

Preliminary studies showed that a palladium complex generated from the sterically hindered *N*-heterocyclic carbene precursor **5** (Figure 1), in combination with KO-*t*-Bu,⁹ was

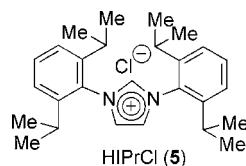


Figure 1. Imidazolium salt **5**.

an efficient catalyst for the direct conversion of alkynes **2**¹⁰ to the corresponding indoles **4** in 2 h with excellent isolated yields (Table 1).¹¹ In contrast to the previously described method,⁴ the protocol was not only applicable to sterically hindered amines (entry 3), but to simple less sterically demanding alkyl-substituted amines (entries 4 and 5).

Furthermore, it was not limited to simple alkyl-substituted alkynes, but was used for the conversion of aryl- and *t*-Bu-substituted derivatives with excellent yields. Different *N*-protecting groups, such as benzyl- (entries 2, 8, and 9) or *p*-methoxybenzyl (PMB) (entry 6), could be introduced via the corresponding amine, allowing for further elaboration of the resulting indoles. Additionally, mild bases, such as Cs₂CO₃¹² or less expensive and less toxic K₃PO₄ could be

(4) For a two-step, one-pot approach consisting of a hydroamination and a subsequent intramolecular palladium-catalyzed amination reaction, see: Siebenreicher, H.; Bytschkov, I.; Doye, S. *Angew. Chem., Int. Ed.* **2003**, *42*, 3042–3044.

(5) (a) Yang, B. H.; Buchwald, S. L. *J. Organomet. Chem.* **1999**, *576*, 125–146. (b) Hartwig, J. F. In *Modern Amination Methods*; Ricci, A., Ed.; Wiley-VCH: Weinheim, 2000; pp 195–262. (c) Tsuji, J. *Palladium Reagents and Catalysts*, 2nd ed.; Wiley: Chichester, 2004; pp 373–391.

(6) (a) Rodriguez, A. L.; Koradin, C.; Dohle, W.; Knochel, P. *Angew. Chem., Int. Ed.* **2000**, *39*, 2488–2490. (b) For a review, see: Seayad, J.; Tillack, A.; Hartung, C. G.; Beller, M. *Adv. Synth. Catal.* **2002**, *344*, 795–813.

(7) (a) For a recent example, see: Hiroya, K.; Itoh, S.; Sakamoto, T. *J. Org. Chem.* **2004**, *69*, 1126–1136. (b) See also: Barluenga, J.; Trincado, M.; González, J. *Angew. Chem., Int. Ed.* **2003**, *42*, 2406–2409 and references therein.

(8) Early examples: (a) Castro, C. E.; Stephens, R. D. *J. Org. Chem.* **1963**, *28*, 2163. (b) Castro, C. E.; Gaughan, E. J.; Owsley, D. C. *J. Org. Chem.* **1966**, *31*, 4071–4078.

(9) For the use of heterocyclic carbene ligands in C–N bond-forming processes, see: (a) Huang, J.; Grasa, G.; Nolan, S. P. *Org. Lett.* **1999**, *1*, 1307–1309. (b) Stauffer, S. R.; Lee, S.; Stambuli, J. P.; Hauck, S. I.; Hartwig, J. F. *Org. Lett.* **2000**, *2*, 1423–1426.

(10) Alkynes **2** were obtained from *o*-dihaloarenes **1** with excellent selectivity in 73–96% isolated yields by Sonogashira coupling reactions using Pd(PPh₃)₂Cl₂ and CuI. For a review, see: Marsden, J. A.; Haley, M. M. In *Metal-Catalyzed Cross-Coupling Reactions*, 2nd ed.; de Meijere, A., Diederich, F., Eds.; Wiley-VCH: Weinheim, 2004; pp 317–394.

(11) **Representative Procedure for Palladium-Catalyzed Indole Synthesis: 2-Phenyl-1-*p*-tolyl-1*H*-indole (4a).** To a solution of Pd(OAc)₂ (11 mg, 0.05 mmol, 5 mol %), H1PrCl (**5**) (21 mg, 0.05 mmol, 5 mol %), and KO-*t*-Bu (336 mg, 3.0 mmol) in PhMe (3 mL) were added 1-chloro-2-phenylethynylbenzene (212 mg, 1.0 mmol) and 4-methylaniline (129 mg, 1.2 mmol) at room temperature. The resulting red mixture was stirred at 105 °C for 2 h, after which GC/MS analysis indicated complete conversion of the starting material. CH₂Cl₂ (50 mL) and aq HCl (2 N, 50 mL) were added to the cooled reaction mixture. The separated aqueous phase was extracted with CH₂Cl₂ (2 × 50 mL). The combined organic layers were dried over MgSO₄ and concentrated in vacuo. The remaining residue was purified by column chromatography on silica gel (*n*-pentane/Et₂O, 200/1) to yield **4a** as a white solid (282 mg, 99%).

Table 1. Palladium-Catalyzed Indole Synthesis^a

$ \begin{array}{c} \text{R}^1\text{-C}_6\text{H}_3\text{(Cl)-C}\equiv\text{C-R}^2 \\ + \text{R}^3\text{NH}_2 \end{array} \xrightarrow[\text{base, PhMe, 105 }^\circ\text{C, 2 h}]{\text{Pd(OAc)}_2 \text{ (5 mol\%), HIPrCl (5) (5 mol\%)}} \begin{array}{c} \text{R}^1\text{-C}_6\text{H}_3\text{(Indol-3-yl)-R}^2 \\ \text{N-R}^3 \end{array} $						
entry ^b	R ¹	R ²	R ³	product	Isolated yield (%)	
1	A	H	Ph	<i>p</i> -Tol	4a 99	
2	A	H	Ph	PhCH ₂	4b 92	
3	A	H	Ph	Mes	4c 99	
4	A	H	Ph	<i>n</i> -Oct	4d 93	
5	A	H	Ph	<i>n</i> -Hex	4e 93	
6	A	H	Ph	PMB	4f 78	
7	A	H	<i>t</i> -Bu	<i>p</i> -Tol	4g 83	
8	A	H	<i>t</i> -Bu	PhCH ₂	4h 74	
9	B	CF ₃	<i>n</i> -Hex	PhCH ₂	4i 66	
10	C	CF ₃	<i>n</i> -Hex	4-EtCO ₂ C ₆ H ₄	4j 67	
11	C	H	Ph	4-EtCO ₂ C ₆ H ₄	4k 92	
12	C	H	Ph	<i>p</i> -Tol	4a 95	

^a Alkyne (1.0 mmol), amine (1.2 mmol), Pd(OAc)₂ (5 mol %), H1PrCl (**5**) (5 mol %) in PhMe (3 mL), 105 °C. ^b A: KO-*t*-Bu (3 mmol); B: K₃PO₄ (3 mmol), 16 h; C: CuI (5 mol %), K₃PO₄ (3 mmol), 5–18 h.

employed (entry 9), thereby expanding the functional group tolerance significantly.¹³ Longer reaction times, and in some cases, incomplete cyclization of the amination product **3** were observed using these bases,¹⁴ suggesting an acceleration of the ring closing reaction by KO-*t*-Bu.⁶ Because copper salts were frequently used for the cyclization of *o*-alkynylanilines

3,⁸ such problems could be circumvented by addition of 5 mol % CuI to the catalytic system, leading to quantitative conversion to the corresponding indoles **4j**, **4k**, and **4a**, when using K₃PO₄ (entries 10–12).

Recently, renewed interest has focused on the copper-catalyzed Ullman–Goldberg amination reaction.¹⁵ “Ligand-free” CuI-catalyzed amination reactions employing KO-*t*-Bu as base have also been described.¹⁶ Consequently, the possibility of accomplishing a copper-catalyzed indole synthesis was explored (Table 2).

Table 2. CuI-Catalyzed Indole Synthesis^a

entry	X	R ¹	R ²	R ³	product	yield (%)
1	Cl	H	Ph	4-MeC ₆ H ₄		21 (51) ^b
2	Cl	CF ₃	<i>n</i> -Hex	4-MeC ₆ H ₄		(53) ^b
3	Br	H	<i>n</i> -Hex	Ph		84
4	Br	H	<i>n</i> -Hex	4-MeC ₆ H ₄		67
5	Br	H	<i>n</i> -Hex	4-ClC ₆ H ₄		70
6	Br	H	<i>n</i> -Hex	4-MeC ₆ H ₄		75
7	Br	H	<i>n</i> -Bu	2-MeOC ₆ H ₄		69

^a Isolated yields; alkyne (1.0 mmol), amine (1.2 mmol), KO-*t*-Bu (3.0 mmol), and CuI (10 mol %) in PhMe (3 mL), 105 °C. ^b Conversion of **2** by GC analysis, 48 h.

Aryl chlorides reacted sluggishly and low isolated yields were obtained due to the formation of byproducts (entries 1 and 2). However, complete conversion was obtained for the corresponding aryl bromides, allowing isolation of the indoles in high yields after only 2 h (entries 3–7).¹⁷

Subjecting the *o*-alkynylbromoarene **2** employed in Table 2, entries 3–6, and the secondary amine *N*-methylaniline to

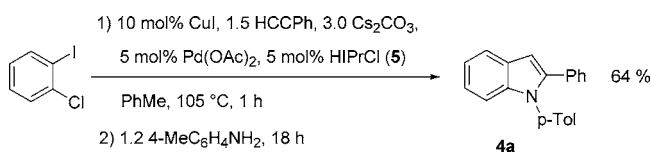
(12) Under otherwise identical reaction conditions to the ones outlined in Table 1, entry 9, the use of Cs₂CO₃ or KOAc instead of K₃PO₄ led to complete conversion (**4j**: 40% isolated yield) and no conversion of the corresponding alkyne, respectively.

(13) Wolfe, J. P.; Buchwald, S. L. *Tetrahedron Lett.* **1997**, 38, 6359–6362.

these reaction conditions gave mainly rise to the corresponding hydroamination product. This suggests that here a sequence consisting of an intermolecular hydroamination and a subsequent intramolecular amination^{18,19} is predominantly operative.

As the palladium amination catalyst was found compatible with the addition of substoichiometric amounts of CuI, a multicatalytic²⁰ one-pot indole synthesis consisting of a Sonogashira coupling reaction, an amination and an intramolecular hydroamination sequence was probed (Scheme 2).²¹ A combination of commercially available imidazolium salt **5**, Pd(OAc)₂, CuI, and Cs₂CO₃ enabled quantitative conversion to **4a**, which was isolated in 64% yield.

Scheme 2. Multicatalytic One-Pot Indole Synthesis



In conclusion, general and efficient approaches to the indole framework starting from *o*-alkynylhaloarenes **2** are presented. These transformations proceed via either a palladium- or a copper-catalyzed amination reaction, and are applicable to alkyl- and aryl-substituted alkynes and amines. Furthermore, they allow for the use of mild bases, such as K₃PO₄, and the introduction of different protecting groups at nitrogen of the indole moiety. Remarkably, a one-pot indole synthesis starting from *ortho*-chloriodobenzene is viable using a single catalytic system consisting of Pd(OAc)₂, CuI, HIPrCl (**5**), and Cs₂CO₃.

(14) The use of K₃PO₄ instead of KO-*t*-Bu under otherwise identical conditions to the one outlined in Table 1, entry 8, yielded exclusively the corresponding amine **3**, supporting the sequence outlined in Scheme 1.

(15) (a) Ley, S. V.; Thomas, A. W. *Angew. Chem., Int. Ed.* **2003**, 42, 5400–5449. (b) Kunz, K.; Scholz, U.; Ganzer, D. *Synlett* **2003**, 2428–2439.

(16) Kelkar, A. A.; Patil, N. M.; Chaudhari, R. V. *Tetrahedron Lett.* **2002**, 43, 7143–7146.

(17) **Representative Procedure for CuI-Catalyzed Indole Synthesis: 2-*n*-Hexyl-1-*p*-tolyl-1*H*-indole (**4n**).** To a solution of CuI (18 mg, 0.10 mmol, 10 mol %) and KO-*t*-Bu (336 mg, 3.0 mmol) in PhMe (3 mL) were added 1-bromo-2-oct-1-ynylbenzene (265 mg, 1.0 mmol) and 4-methylaniline (129 mg, 1.2 mmol) at room temperature. The resulting mixture was stirred at 105 °C for 2 h, after which GC/MS analysis indicated complete conversion of the starting material. CH₂Cl₂ (50 mL) and aq HCl (2 N, 50 mL) were added to the cooled reaction mixture. The separated aqueous phase was extracted with CH₂Cl₂ (2 × 50 mL). The combined organic layers were dried over MgSO₄ and concentrated in vacuo. The remaining residue was purified by column chromatography on silica gel (*n*-pentane/Et₂O, 200/1 → 50/1) to yield **4n** as a yellow oil (195 mg, 67%).

(18) For copper-catalyzed intramolecular amination reactions of aryl chlorides under mild conditions, see: Kwong, F. Y.; Buchwald, S. L. *Org. Lett.* **2003**, 5, 793–796.

(19) KO-*t*-Bu can assist similar cyclization reactions via formation of an aryne intermediate. See, for example: Beller, M.; Breindl, C.; Riermeier, T. H.; Tillack, A. *J. Org. Chem.* **2001**, 66, 1403–1412.

(20) Lee, J. M.; Na, Y.; Han, H.; Chang, S. *Chem. Soc. Rev.* **2004**, 33, 302–312.

(21) For the use of *N*-heterocyclic carbene palladium complexes for Sonogashira coupling reactions, see: Yang, C.; Nolan, S. P. *Organometallics* **2002**, 21, 1020–1022.

Acknowledgment. Support by Professor Paul Knochel and the Ludwig-Maximilians-Universität, as well as the DFG through an Emmy Noether fellowship, is gratefully acknowledged. The author thanks Sebastian Barfusser for the synthesis of starting materials.

Supporting Information Available: Experimental procedures, characterization data, and ^1H and ^{13}C NMR spectra for new compounds. This material is available free of charge via the Internet at <http://pubs.acs.org>.

OL047649J